AMUNDI US INDIVIDUAL RETIREMENT ACCOUNT (IRA) TRANSFER OF ASSETS / DIRECT ROLLOVER FORM

Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover contributions [conversions] to a Roth IRA) from an existing retirement plan account to your IRA at Amundi US. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. If you reached or passed the age Required Minimum Distributions begin, you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Incomplete information will result in delays in processing your request.

If you need assistance completing this form, please contact Shareholder Services at 1-800-622-0176.

NOTE: We require the original form in order to process your request. Faxed copies will not be accepted.

DIRECT ROLLOVER NOTICE

If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, I understand that by signing page 2 of this form, I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan.

You should contact your current plan administrator or custodian prior to completing this form to ensure that you have received and completed any in-house forms that they may require. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

PARTICIPANT INFORMATION

Name: ______________________________ Cell Phone: (   ) ______________________

Address: ______________________________ Alternate Telephone: (   ) ______________________

City: ______________________________ State: ________ Zip Code: ______________________

Social Security Number: ______________________________ Date of Birth: ______________________

INVESTMENT INSTRUCTIONS

Complete items A, B, C and D.

A. ☐ I am opening a new IRA and have attached the required IRA Application.

☐ Deposit the proceeds into my existing IRA. Account Number: ______________________

B. Type of account transferring into: ☐ Traditional IRA ☐ Rollover IRA ☐ SEP IRA ☐ Roth IRA

C. Invest as follows:

Fund Name (including class of shares):

______________________________ Percentage ________ %

______________________________ Percentage ________ %

______________________________ Percentage ________ %

Must equal 100%

D. Type of Request:

☐ IRA Transfer of Assets (like accounts)*

☐ Direct Rollover from a Qualified Plan to an IRA

☐ Direct Rollover from a 403(b) or 457 to an IRA

*SEP IRAs can be transferred into a Traditional IRA. SIMPLE IRAs (after the required two year holding period) can be transferred into a Traditional IRA.

CURRENT CUSTODIAN AND ACCOUNT INFORMATION

Type of account you are transferring/rolling over from (check one):

☐ Traditional/Rollover IRA ☐ SEP IRA ☐ Roth IRA ☐ 403(b) ☐ 457 Plan ☐ Qualified Plan*

☐ SEP IRA that is being transferred into a Traditional IRA.

☐ SIMPLE IRA (after the required two year holding period) that is being transferred into a Traditional IRA.

*If you are rolling over a qualified plan, please contact your current plan administrator for distribution/rollover in-house form requirements.

Please attach your most recent statement, if possible. Note, your current custodian may require a Medallion Signature Guarantee to process your transfer or rollover request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

Name of current custodian: ______________________________

Address: ______________________________

City: ______________________ State: __________ Zipcode: ______________

Contact name: ______________________ Telephone number: ( ) ______________

1) Investment to transfer: ______________________________

Account number: ______________ Share class: ______________ CUSIP number: ______________

☐ Liquidate Entire Account ☐ Partial Dollar Amount $ ____________or # of Shares____________ ☐ Transfer In-Kind

For Certificate of Deposits: ☐ Immediately* ☐ At Maturity Date ____________

2) Investment to transfer: ______________________________

Account number: ______________ Share class: ______________ CUSIP number: ______________

☐ Liquidate Entire Account ☐ Partial Dollar Amount $ ____________or # of Shares____________ ☐ Transfer In-Kind

For Certificate of Deposits: ☐ Immediately* ☐ At Maturity Date ____________

***Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

PARTICIPANT AUTHORIZATION

I authorize the transfer of assets or direct rollover as noted above to my Amundi US IRA and authorize my current custodian, Amundi US, Pioneer Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature: ______________________________ **Date:** ______________

Mail to the following:

First Class Mail:
Amundi US
P.O. Box 534427
Pittsburgh, PA 15253-4427

Overnight Mail:
Amundi US
Attention 534427
500 Ross Street, 154-0520
Pittsburgh, PA 15262
1-800-622-0176

Medallion Signature Guarantee Stamp and Signature: An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee Stamp

Amundi
ASSET MANAGEMENT